

Waters

July 23, 2004

MailStop: Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: U.S. NonProvisional Patent Application Serial No. 10/797,242

Titled: MASS SPECTROMETER

Filed: March 24, 2004 Applicant: Bateman, et al

Attorney Docket No. 04-MM-09 (MST 1221)

## Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. First Class Mail Certificate / Transmittal Letter
- 2. Response To Notice To File Missing Parts Of NonProvisional Patent Application
- 3. Recordation Form Cover Sheet PTO-1595 (1 page, in duplicate);
- 4. Executed Assignments to Micromass UK Limited (2 sheets);
- 5. Fee Transmittal (1 sheet);
- 6. Power of Attorney for Utility Patent Application (2 sheets);
- 7. Copy of Notice To File Missing Parts Of NonProvisional Application
- 8. Return prepaid postcard

Please charge any necessary fees to our Deposit Account No. 23-0503. A duplicate of this sheet is enclosed.

Respectfully submitted.

Anthony J. Janiuk, Esq.

Reg. No. 29809

First Class Mail Certificate

I hereby certify that this correspondence is deposited with the United States Postal Service on 23, 2004, as first class mail in an envelope addressed to Mailstop: Missing Parts, Copardissioner for Patents, P.O. Box 1450, Alexandriz, VA 22313-1450

1/1/1/1/1

Anthony I. Janiuk, E.

Anthony J. Janiuk, Esq. - Waters Corporation 34 Maple Street Mikrord Massachusetts 01757 Tel: 508-482-2714 Fax 508.482.2320



Docket No. 04-MM-09 (MST 1221)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Harold Bateman

CUSTOMER NO. 000043840

Jeff Brown

Serial No.: 10/797,242

Filed: March 10, 2004

Group Art: 2877

For: MASS SPECTROMETER

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)** 

I hereby certify that the attached correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: MailStop, Missing Parts, Commissioner

for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on -

Anthony I Janii

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL APPLICATION

MailStop: Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed from the Patent Office on May 26, 2004. Applicants submits a First Class Mail Transmittal Letter, Response To Notice To File Missing Parts of NonProvisional Patent Application, Recordation Form Cover Sheet PTO-1595, Assignments, Fee Transmittal, Declaration, Petition and Power of Attorney, one copy of the Notice To File Missing Parts and Prepaid Return Postcard.



Docket No. 04-MM-09 (MST 1221)

## FEE CALCULATION

Enclosed also is the surcharge fee set forth in 37 CFR 1.16(f) of \$ 130.00 and the Assignment recordal fee of \$40.00.

Please charge the fees for filing to deposit account no. 23-0503 in the total amount of \$170.00. If Applicant is mistaken in estimating fees Applicant authorizes the Examiner to charge any additional fees due to Deposit Account 23-0503. A copy of this letter is enclosed for accounting purposes.

nthony J. Janiuk, Es

Reg. No. 29/809

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account 23-0503. A duplicate of this letter/is enclosed.

Date: July 23, 2004

Tel.: (508) 482-2549 Fax. 508 482 2320

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

uction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

170.00

Con	mplete if Known
Application Number	10/797,242
Filing Date	March 20, 2004
First Named Inventor	Bateman, Harold et al
Examiner Name	unknoen
Art Unit	2877
Attorney Docket No.	04-RM-09 (RST 1221)

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None	3. ADDITIONAL FEES						
Order Order		Entity	Small	Entity			
Deposit 23-0503	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid_	
Account Number	1051	130	2051		Surcharge - late filing fee or oath	130.00	
Denosit	1052	50	2052		Surcharge - late provisional filing fee or		
Account Name Waters Corporation	1053			400	cover sheet		
The Director is authorized to: (check all that apply)		130	1053	130	Non-English specification  For filing a request for <i>ex parte</i> reexamination	H	
X Charge fee(s) indicated below		2,520 920*	1804	•	Requesting publication of SIR prior to		
X Charge any additional fee(s) or any underpayment of fee(s)		920	1804	920	Examiner action	<b>├</b>	
Charge fee(s) indicated below, except for the filing fee		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-identified deposit account.		110	2251	55	Extension for reply within first month		
FEE CALCULATION		420	2252	210	Extension for reply within second month		
1. BASIC FILING FEE	1252 1253	950	2253	475	Extension for reply within third month	<u>                                     </u>	
Fee Fee Fee Fee Fee Description Fee Paid	l .	1,480	2254	740	Extension for reply within fourth month	[]	
Code (\$)   Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	J	
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing		
1005 160 2005 80 Provisional filing fee		1,510	1451		Petition to institute a public use proceeding		
	1452	110	2452		Petition to revive - unavoidable		
SUBTOTAL (1) (\$)		1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2501		Utility issue fee (or reissue)		
Fee from  Ext <u>ra Claims below Fee Paid</u>	1502	480	2502		Design issue fee		
Total Claims20** = X =	1503	640	2503	320	Plant issue fee		
Independent - 3** = X = X	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent	1807	50	180	7 50	Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity	1806	180	180	5 180	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	40.00	
1202 18 2202 9 Claims in excess of 20	1809	770	280	9 385	Filing a submission after final rejection		
1201 86 2201 43 Independent claims in excess of 3					(37 ČFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	281	385	For each additional invention to be examined (37 CFR 1.129(b))		
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	' ' '	]	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other	fee (sp	ecify) _				
**or number previously paid, if greater; For Reissues, pee above	*Red	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 17	0.00	
SUBMITTED BY (Complete (if applicable))							
Name (Print/Type) Antlactive J. Janiuk Registration No. (Atomey/Agent) 29,809 Telephone 508 482-2714							
Signature Date 13-27, 2004							

WARNING: Information of this form may become public. Credit card information should not be included on this form. Provide cedit card Information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and .27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by \$5 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/797,242

03/10/2004

Robert Harold Bateman

04-MM-09 (MST 1221)

**CONFIRMATION NO. 2986** 

Anthony J. Janiuk, Esq. Waters Corporation Legal Department 34 Maple Street Milford, MA 01757

**FORMALITIES LETTER** \*OC000000012775906\*

Date Mailed: 05/26/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

# **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

07/27/2004 RHEBRAHT \$0000033 230503

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE